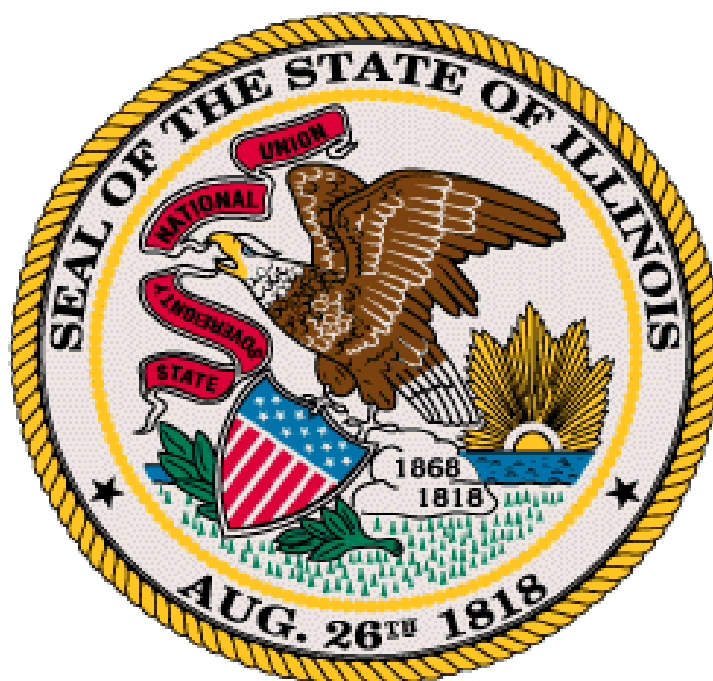


Illinois Commerce Commission



Annual Report

Transportation Regulatory Fund Fiscal Year 2009

*Printed by Authority of the State of Illinois
300c. – October 2009 - #649*



ILLINOIS COMMERCE COMMISSION

October 15, 2009

The Honorable Pat Quinn
Governor
207 State House
Springfield, IL 62706

Dear Governor Quinn:

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law (625 ILCS 5/18c-1604), the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in cursive script that reads "Charles E. Box".

Charles E. Box
Chairman

cc: State Library

Illinois Commerce Commission
Transportation Regulatory Fund
Annual Report for Expenditures
For Fiscal Year 2009

Section 18c-1604 of the Illinois Commercial Transportation Law [625 ILCS5/18c-1604], requires the Illinois Commerce Commission to submit to the Governor and General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

“Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of the lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the sub-totals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund.”

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law [625 ILCS 5/18c-1601], requires that the Commission “account separately for monies from the following classes:

- (a) motor carriers of property (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies.”

This report is provided in accordance with the above stated requirement.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2009 Income

<u>INCOME ACCOUNT CODE</u>	<u>TOTAL INCOME</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	168,140	168,140	-
Intrastate Authority Applications	146,500	146,500	-
Interstate Stamps/Receipts	3,516,993	3,516,993	-
Safety Relocator Application/Registrations	780,000	780,000	-
Petition to Reinstate License	8,175	8,175	-
Transfers	6,750	6,750	-
Lease Agreement	79,395	79,395	-
Exemption Certificates	6,400	6,400	-
Copying	4,833	4,833	-
Gross Revenue Tax-Railroads	388,572	-	388,572
Miscellaneous Fees	3,157	3,157	-
Tariff Auditing/Annual Reports	450	450	-
Relocator's License Fees	39,600	39,600	-
Relocator Tow Record/Invoice	798,450	798,450	-
Operator's License Fees	29,970	29,970	-
Dispatcher License Fees	5,640	5,640	-
Administrative Citations	606,065	606,065	-
Civil Penalties	136,971	136,971	-
Broker's License	1,150	1,150	-
Railroad Route Miles	317,904	-	317,904
Railroad Crossing	279,468	-	279,468
Grade Crossing Protection Fund	2,250,000	-	2,250,000
IL Dept of Transportation Reimbursement	155,000	-	155,000
FEMA - Des Plaines Flood Damages	28,140	28,140	-
 TOTAL	 9,757,723	 6,366,779	 3,390,944

NOTE:

The above table includes funds received by the Commission during FY2009 which may not match the deposit amounts shown by the Comptroller's Office for FY2009. Any differences are due to deposits in-transit between the Commission and the Comptroller's Office. The above figures do not include additional revenues collected on behalf of other states in the Unified Carrier Registration Program and remitted to the Federal Government.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2009 Expenditures

<u>LINE ITEM</u>	<u>TOTAL EXPENDITURES</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Personal Services	4,998,756	2,391,201	2,607,555
Pension Pickup	-	-	-
Retirement	1,049,547	501,834	547,713
Social Security	333,761	140,970	192,791
Group Insurance	1,001,000	527,271	473,729
Contractual Services	475,213	292,598	182,615
Travel	76,040	15,168	60,872
Commodities	15,178	8,920	6,258
Printing	7,781	5,719	2,062
Equipment	90,333	44,831	45,502
Electronic Data Processing	191,379	96,965	94,414
Telecommunications	176,410	113,509	62,901
Operation of Auto	130,961	63,106	67,855
Lump Sum	931,026	590,571	340,455
Refunds	12,741	12,741	
 TOTAL	 9,490,126	 4,805,404	 4,684,722

NOTE:

The FY2009 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the amount remitted to the Federal Government from the Unified Carrier Registration Program.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY09. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Bureau of External Affairs

Director- Office of Public Affairs

Directs the activities of the Office of Public Affairs

Legislative Liaison II

Assists with the administration of the governmental and legislative program; maintains cooperative working relationships with representatives of governmental agency management, officials, community and civic organizations and representatives of various branches of legislation

Bureau of Planning and Operations

Director- Administrative Services Division

Directs the activities of the Administrative Services Division

Information Systems Analyst III
(2 positions)

Serves as a highly advanced specialist in planning and implementing complex and sophisticated interface information technology infrastructures and similar functions of major scope and impact

Information Systems Analyst II
(2 positions)

Provides analysis of complex business operations and develops requirements and specifications for the creation of client/server and/or internet based computer systems

Reproduction Service Supervisor

Serves as lead worker to print shop/mailroom staff and oversees the acquisition and distribution of office supplies

Office of Chairman and Commissioners

Chief Internal Auditor

Directs the agency's internal audit program

Office of the Executive Director

Executive Director

Manages the agency's affairs by planning, coordinating and implementing the policies of the agency in an effective and efficient manner

Manager- Human Resources

Plans, directs and coordinates the Human Resources function of the Commission

Human Resources Analyst

Coordinates the personnel transactions to ensure the accuracy and validity of data reflected in employee transactions

Transportation Division

Bureau Chief

Manages the Transportation Division

Administrative Assistant I

Provides administrative support to the Transportation Bureau Chief's Office

Chief Transportation Counsel

Provides broad range of services to the Transportation Division, directs program and staff

Technical Advisor II

Staff attorney, represents Division in court and before the ILCC

Chief of Police

Oversees the ILCC Police activities including on-the-road enforcement and administrative staff functions

Assistant Chief of Police

Assists Chief in overseeing downstate enforcement and administrative staff functions

General Services Administrator I

Provides administrative support for statewide Commission enforcement program

Administrative Assistant I
(2 positions)

Provides administrative support for Commission enforcement program

Public Service Administrator	Serves as Police Commander for Commission Police District
Compliance Specialist	Provides compliance education to the industry and shippers
Transportation Industry Analyst III	Performs staff functions related to the household goods enforcement program including tariffs, audits, compliance-required seminars and dispute resolution
ICC Police Officer II (11 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers
Transportation Investigator II (2 positions)	Conducts investigations of relocation towing companies, non-sworn
Transportation Investigator I	Conducts investigations of relocation towing companies, non-sworn
Manager- Processing and Information	Provides management of the motor carrier registration program
Transportation Industry Customer Service Representative II (2 positions)	Provides services to the transportation and insurance industries and public consistent with clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers
Transportation Industry Customer Service Representative I (5 positions)	Provides customer service to the motor carrier industry regarding issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance
Manager- Review and Examination	Directs Transportation Division Hearings Program
Administrative Assistant I	Provides administrative support to the Hearings Program

Administrative Law Judge IV	Hears cases including household goods carrier authority, relocation towing licenses and railroad improvement petitions
Manager- Railroad	Directs the Railroad Safety Program
Executive Secretary II	Provides secretarial and administrative support to the Railroad Safety Program
Management Secretary I	Provides secretarial and administrative support to the Railroad Safety Program
Railroad Safety Specialist IV (6 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents
Railroad Safety Specialist III (9 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards
Railroad Safety Specialist III (2 positions)	Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials
Railroad Safety Specialist III (2 positions)	Conduct inspections of maintaining track operated by registered rail carriers

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures
Between the Transportation Regulatory Fund
And Other Funds

Section 18c-1603 of the Illinois Commercial Transportation Law [625 ILCS 5/18c-1603] details the requirements for expenses allocated partially or entirely to the Transportation Regulatory Fund.

(2) Allocation of Expenses to the Fund.

- (b) Expenses Partially Allocated to the Transportation Regulatory Fund. A portion of expenses for the following persons and activities may be allocated to the Transportation Regulatory Fund:
 - (i) The Executive Director, his deputies and personal assistants, and their clerical support;
 - (ii) The legislative liaison activities of the Office of Legislative Affairs, its constituent elements and successors;
 - (iii) The activities of the Bureau of Planning and Operations on the effective date of this amendatory Act of the 94th General Assembly, exclusive of the Chief Clerk's office;
 - (iv) The payroll expenses of Commissioners' assistants;
 - (v) The internal auditor;
 - (vi) The in-state travel expenses of the Commissioners to and from the offices of the Commission; and
 - (vii) The Public Affairs Group, its constituent elements, and its successors.
- (c) Allocation Methodology for Expenses Other Than Commissioners' Assistants. The portion of total expenses (other than commissioners' assistants' expenses) allocated to the Transportation Regulatory Fund under paragraph (b) of this subsection shall be the portion of staff time spent exclusively on administration and enforcement of this Chapter and Chapter 18a, as shown by a time study updated at least once each 6 months. (For FY09 the percentage allocated to the Transportation Regulatory Fund was 30%).
- (e) Allocation methodology for Commissioners' Assistants Expenses. Five percent of the payroll expenses of commissioners' assistants may be allocated to the Transportation Regulatory Fund.

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers of Property, Rail Carriers, and Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributed to a specific mode, allocations were determined and applied to these expenditures.